

# On the difficulty of inverting automorphisms of free groups

**Enric Ventura**

Departament de Matemàtica Aplicada III  
Universitat Politècnica de Catalunya

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# Outline

- 1 Motivation
- 2 Free groups
- 3 Lower bounds: a good enough example
- 4 Upper bounds: outer space
- 5 The special case of rank 2

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# Motivation

(Joint work with P. Silva and M. Ladra.)

Find a group  $G$  where  $\cdot$  is “easy” but  $()^{-1}$  is “difficult”.

Natural candidate:  $\text{Aut}(F_n)$ , where  $F_r = \langle a_1, \dots, a_r \mid \rangle$ .

$F_3 = \langle a, b, c \mid \rangle$ .

$$\begin{array}{ll} \phi: F_3 \rightarrow F_3 & \psi: F_3 \rightarrow F_3 \\ a \mapsto ab & a \mapsto bc^{-1} \\ b \mapsto ab^2c & b \mapsto a^{-1}bc \\ c \mapsto bc^2 & c \mapsto c^{-1}. \end{array}$$

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$$F_5 = \langle a, b, c, d, e \mid \rangle.$$

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- We have formalized the situation.
- We have seen that inverting in  $\text{Aut}(F_r)$  is not that bad.
- We now want to look for worse groups  $G$ .

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Let  $A = \{a_1, \dots, a_r\}$  be a finite alphabet, and  $G = \langle A \mid R \rangle$  be a finite presentation for a group  $G$ . We have the **word metric**:

$$\text{for } g \in G, \quad |g| = \min\{n \mid g = a_{i_1}^{\epsilon_1} \cdots a_{i_n}^{\epsilon_n}\}.$$

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For  $\theta \in \text{Aut}(G)$ , note  $\theta$  is determined by  $a_1\theta, \dots, a_r\theta$  and define

$$\|\theta\|_1 = |a_1\theta| + \cdots + |a_r\theta|,$$

$$\|\theta\|_\infty = \max\{|a_1\theta|, \dots, |a_r\theta|\}.$$

## Observation

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Let  $G = \langle A \mid R \rangle$  be a finite presentation for  $G$ . We define the function:

$$\alpha_A(n) = \max\{\|\theta^{-1}\|_1 \mid \theta \in \text{Aut}(G), \|\theta\|_1 \leq n\}.$$

Clearly,  $\alpha_A(n) \leq \alpha_A(n+1)$ .

*The bigger is  $\alpha_A$ , the more “difficult” will be to invert automorphisms of  $G$  (with respect to the given set of generators  $A$ ).*

## Question

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# Free group case

For the rest of the talk,  $G = F_r = \langle a_1, \dots, a_r \mid \rangle$ .

## Definition

Every  $w \in F_r$  has its *length*,  $|w|$ , and its *cyclic length*,  $\cdot w \cdot$ :

$$|a_1 a_1^{-1} a_2| = |a_2| = \cdot a_2 \cdot = 1,$$

$$|a_1 a_2 a_1^{-2}| = 4,$$

$$\cdot a_1 a_2 a_1^{-2} \cdot = \cdot a_2 a_1^{-1} \cdot = 2.$$

## Observation

i)  $|w^n| \leq |n||w|$  and  $\cdot w^n \cdot = |n| \cdot |w|$ ;

ii)  $|vw| \leq |v| + |w|$ , but  $\cdot vw \cdot \leq \cdot v \cdot + \cdot w \cdot$  is not true in general.

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$\|\theta\|_1 \leq \|\|\theta\|\|_1 \leq \|\theta\|_1$ , but not equal in general.

## Example

Consider  $\theta: F_4 \rightarrow F_4$ ,  $a \mapsto a$ ,  $b \mapsto a^{-1}ba$ ,  $c \mapsto a^{-1}ca$ ,  $d \mapsto d$ . We have  $\|\theta\|_1 = 4$ ,  $\|\|\theta\|\|_1 = 6$  and  $\|\theta\|_1 = 8$ .

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## Question

*Are these functions equal up to multiplicative constants ?*

*$\alpha_r$  and  $\gamma_r$  are not;  
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# Main results

## Theorem

*For rank  $r = 2$  we have*

- (i) *for  $n \geq 4$ ,  $\alpha_2(n) \leq \frac{(n-1)^2}{2}$ ,*
- (ii) *for  $n \geq n_0$ ,  $\alpha_2(n) \geq \frac{n^2}{16}$ ,*
- (iii) *for  $n \geq 1$ ,  $\beta_2(n) = n$ ,*
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## Theorem

*For  $r \geq 3$  there exist  $K = K(r)$  and  $M = M(r)$  such that, for  $n \geq 1$ ,*

- (i)  *$\alpha_r(n) \geq Kn^r$ ,*
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# Main results

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*For rank  $r = 2$  we have*

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# A lower bound for $\gamma_r$

## Theorem

For  $r \geq 2$ , and  $n \geq n_0$ , we have  $\gamma_r(n) \geq \frac{1}{2r^{r-1}} n^{r-1}$ .

**Proof:** For  $r \geq 2$  and  $n \geq 1$ , consider

$$\begin{array}{ll}
 \psi_{r,n}: F_r & \rightarrow F_r & \psi_{r,n}^{-1}: F_r & \rightarrow F_r \\
 a_1 & \mapsto a_1 & a_1 & \mapsto a_1 \\
 a_2 & \mapsto a_1^n a_2 & a_2 & \mapsto a_1^{-n} a_2 \\
 & & & \vdots \\
 a_3 & \mapsto a_2^n a_3 & & \\
 & \vdots & & \\
 & & a_i & \mapsto (a_{i-1}^{-n}) \psi_{r,n}^{-1} \cdot a_i \\
 a_r & \mapsto a_{r-1}^n a_r & & (2 \leq i \leq r)
 \end{array}$$

A straightforward calculation shows that

$$\|\psi_{r,n}\|_1 = \|\psi_{r,n}\|_1 = (r-1)n + r, \text{ and}$$

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Hence, for  $n \geq r$ ,

$$\gamma_r(rn) \geq \gamma_r((r-1)n + r) \geq n^{r-1}.$$

Now, for  $n$  big enough, take the closest multiple of  $r$  below,

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and

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# Outer space

To prove the upper bound

$$(ii) \beta_r(n) \leq Kn^M,$$

we'll need to use the recently discovered **metric** in the **outer space**  $\mathcal{X}_r$ .

## Definition

- By **graf**  $\Gamma$  we mean a finite, connected graph of rank  $r$ , with no vertices of degree 1 or 2.
- A **metric** on  $\Gamma$  is a map  $\ell: E\Gamma \rightarrow [0, 1]$  such that  $\sum_{e \in E\Gamma} \ell(e) = 1$ , and  $\{e \in E\Gamma \mid \ell(e) = 0\}$  is a forest.
- For a graph  $\Gamma$ ,  $\Sigma_\Gamma = \{\text{metrics on } \Gamma\} =$  a simplex with missing faces.
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The *outer space*  $\mathcal{X}_r$  is

$$\mathcal{X}_r = \{(\Gamma, f, \ell)\} / \sim$$

(where  $\sim$  is an equivalence relation).

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There is a natural action of  $\text{Aut}(F_r)$  on  $\mathcal{X}_r$ , given by

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# Metric on $\mathcal{X}_r$

## Definition

Let  $x, x' \in \mathcal{X}_r$ ,  $x = (\Gamma, f, \ell)$ ,  $x' = (\Gamma', f', \ell')$ . A **difference of markings** is a map  $\alpha: \Gamma \rightarrow \Gamma'$ , which is **linear over edges** and  $f\alpha \simeq f'$ .

For such an  $\alpha$ , define  $\sigma(\alpha)$  to be its **maximum slope over edges**.

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$\mathcal{X}_r$  admits the following “metric”:

$$d(x, x') = \min\{\log(\sigma(\alpha)) \mid \alpha \text{ diff. markings}\}.$$

*This minimum is achieved by Arzela-Ascoli's theorem.*

This is Bestvina-AlgomKfir version of Martino-Francaviglia's original metric.

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- (i)  $d(x, y) \geq 0$ , and  $= 0 \Leftrightarrow x = y$ .
- (ii)  $d(x, z) \leq d(x, y) + d(y, z)$ .
- (iii)  $Out(F_r)$  acts by isometries, i.e.  $d(\phi \cdot x, \phi \cdot y) = d(x, y)$ .
- (iv) But...  $d(x, y) \neq d(y, x)$  in general.

## Definition

For  $\epsilon > 0$ , the  $\epsilon$ -thick part of  $\mathcal{X}_r$  is

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# Bestvina-AlgomKfir theorem

## Theorem (Bestvina-AlgomKfir)

For any  $\epsilon > 0$  there is constant  $M = M(r, \epsilon)$  such that for all  $x, y \in \mathcal{X}_r(\epsilon)$ ,

$$d(x, y) \leq M \cdot d(y, x).$$

## Corollary

For  $r \geq 2$ , there exists  $M = M(r)$  such that

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# Bestvina-AlgomKfir theorem

## Theorem (Bestvina-AlgomKfir)

For any  $\epsilon > 0$  there is constant  $M = M(r, \epsilon)$  such that for all  $x, y \in \mathcal{X}_r(\epsilon)$ ,

$$d(x, y) \leq M \cdot d(y, x).$$

## Corollary

For  $r \geq 2$ , there exists  $M = M(r)$  such that

$$\beta_r(n) \leq r n^M.$$

# Proof

*Remind*  $\beta_r(n) = \max\{\|\theta^{-1}\|_1 \mid \theta \in \text{Aut } F_r, \|\theta\|_1 \leq n\}$ .

**Proof.** Given  $\phi \in \text{Aut}(F_r)$ , consider  $x = (R_r, \text{id}, \ell_0) \in \mathcal{X}_r$ , and  $\phi \cdot x = (R_r, \phi, \ell_0) \in \mathcal{X}_r$ , where  $\ell_0$  is the uniform metric.

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$$\log(\|\phi^{-1}\|_1) \sim d(x, \phi^{-1} \cdot x) = d(\phi \cdot x, x) \leq Md(x, \phi \cdot x) \sim M \log(\|\phi\|_1).$$

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# Outline

- 1 Motivation
- 2 Free groups
- 3 Lower bounds: a good enough example
- 4 Upper bounds: outer space
- 5 The special case of rank 2**

# The rank 2 case

These functions for  $\text{Aut}(F_2)$  are much easier to understand due to the following technical lemmas.

## Lemma

*Let  $\varphi \in \text{Aut}(F_2)$  be positive. Then  $\varphi^{-1}$  is cyclically reduced and  $\|\varphi^{-1}\|_1 = \|\varphi\|_1$ .*

## Lemma

*For every  $\theta \in \text{Aut}(F_2)$ , there exist two letter permuting autos  $\psi_1, \psi_2 \in \text{Aut}(F_2)$ , a positive one  $\varphi \in \text{Aut}^+(F_2)$ , and an element  $g \in F_2$ , such that  $\theta = \psi_1 \varphi \psi_2 \lambda_g$  and  $\|\varphi\|_1 + 2|g| \leq \|\theta\|_1$ .*



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# The rank 2 case: $\gamma_2$

## Theorem

*For every  $\theta \in \text{Aut}(F_2)$ ,  $\|\theta^{-1}\|_1 = \|\theta\|_1$ . Hence,  $\gamma_2(n) = n$ .*

**Proof.** Let  $\theta \in \text{Aut}(F_2)$ , decomposed as above,  $\theta = \psi_1 \varphi \psi_2 \lambda_g$ . Then,

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Now from  $\|\varphi\|_1 + 2|g| \leq \|\theta\|_1 = n$ , we deduce  $|g| \leq \frac{n - \|\varphi\|_1}{2}$  and so,

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Finally, the parabola  $f(x) = 2(n - x)(x - 1)$  takes its maximum at  $x = \frac{n+1}{2}$  and so,

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# The rank 2 case: $\alpha_2$

## Theorem

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# The rank 2 case: $\alpha_2$

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For  $n \geq n_0$  we have  $\alpha_2(n) \geq \frac{n^2}{16}$ .

So, the global known picture is

(i)  $\frac{n^2}{16} \leq \alpha_2(n) \leq \frac{(n-1)^2}{2}$ ,

(ii)  $\beta_2(n) = n$ ,

(iii)  $\gamma_2(n) = n$ ,

(iv)  $Kn^r \leq \alpha_r(n)$ ,

(v)  $\beta_r(n) \leq Kn^M$ ,

(iii)  $Kn^{r-1} \leq \gamma_r(n)$ .

for some constants  $K = K(r)$ ,  $M = M(r)$ , and for  $n \geq n_0$ .



# The rank 2 case: $\alpha_2$

## Theorem

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1. Motivation  
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2. Free groups  
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3. Lower bounds  
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4. Upper bounds  
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5. The special case of rank 2  
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# THANKS