

On the difficulty of inverting automorphisms of free groups

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Groups in Galway, 2011

May 7th, 2011.

Outline

- 1 Motivation
- 2 Free groups
- 3 Lower bounds: a good enough example
- 4 Upper bounds: outer space
- 5 The special case of rank 2

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Motivation

(Joint work with P. Silva and M. Ladra.)

Find a group G where \cdot is “easy” but $()^{-1}$ is “difficult”.

Natural candidate: $\text{Aut}(F_n)$, where $F_r = \langle a_1, \dots, a_r \mid \rangle$.

$F_3 = \langle a, b, c \mid \rangle$.

$$\begin{array}{ll} \phi: F_3 \rightarrow F_3 & \psi: F_3 \rightarrow F_3 \\ a \mapsto ab & a \mapsto bc^{-1} \\ b \mapsto ab^2c & b \mapsto a^{-1}bc \\ c \mapsto bc^2 & c \mapsto c^{-1}. \end{array}$$

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$$F_5 = \langle a, b, c, d, e \mid \rangle.$$

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- We have formalized the situation.
- We have seen that inverting in $\text{Aut}(F_r)$ is not that bad.
- We now want to look for worse groups G .

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Main definition

Definition

Let $A = \{a_1, \dots, a_r\}$ be a finite alphabet, and $G = \langle A \mid R \rangle$ be a finite presentation for a group G . We have the **word metric**:

$$\text{for } g \in G, \quad |g| = \min\{n \mid g = a_{i_1}^{\epsilon_1} \cdots a_{i_n}^{\epsilon_n}\}.$$

Definition

For $\theta \in \text{Aut}(G)$, note θ is determined by $a_1\theta, \dots, a_r\theta$ and define

$$\|\theta\|_1 = |a_1\theta| + \cdots + |a_r\theta|,$$

$$\|\theta\|_\infty = \max\{|a_1\theta|, \dots, |a_r\theta|\}.$$

Observation

For every $\theta \in \text{Aut}(F_r)$, $\|\theta\|_\infty \leq \|\theta\|_1 \leq r\|\theta\|_\infty$

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$$\alpha_A(n) = \max\{\|\theta^{-1}\|_1 \mid \theta \in \text{Aut}(G), \|\theta\|_1 \leq n\}.$$

Clearly, $\alpha_A(n) \leq \alpha_A(n+1)$.

The bigger is α_A , the more “difficult” will be to invert automorphisms of G (with respect to the given set of generators A).

Question

Determine the asymptotic growth of the function α_A .

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Free group case

For the rest of the talk, $G = F_r = \langle a_1, \dots, a_r \mid \rangle$.

Definition

Every $w \in F_r$ has its *length*, $|w|$, and its *cyclic length*, $\cdot w \cdot$:

$$|a_1 a_1^{-1} a_2| = |a_2| = \cdot a_2 \cdot = 1,$$

$$|a_1 a_2 a_1^{-2}| = 4,$$

$$\cdot a_1 a_2 a_1^{-2} \cdot = \cdot a_2 a_1^{-1} \cdot = 2.$$

Observation

i) $|w^n| \leq |n||w|$ and $\cdot w^n \cdot = |n| \cdot |w|$;

ii) $|vw| \leq |v| + |w|$, but $\cdot vw \cdot \leq \cdot v \cdot + \cdot w \cdot$ is not true in general.

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$$\|\|\theta\|\|_1 = \min\{\|\theta\gamma_v\|_1 \mid v \in F_r\}.$$

Observation

$\|\theta\|_1 \leq \|\|\theta\|\|_1 \leq \|\theta\|_1$, but not equal in general.

Example

Consider $\theta: F_4 \rightarrow F_4$, $a \mapsto a$, $b \mapsto a^{-1}ba$, $c \mapsto a^{-1}ca$, $d \mapsto d$. We have $\|\theta\|_1 = 4$, $\|\|\theta\|\|_1 = 6$ and $\|\theta\|_1 = 8$.

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Question

Are these functions equal up to multiplicative constants ?

*α_r and γ_r are not;
 β_r is not clear.*

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Main results

Theorem

For rank $r = 2$ we have

- (i) *for $n \geq 4$, $\alpha_2(n) \leq \frac{(n-1)^2}{2}$,*
- (ii) *for $n \geq n_0$, $\alpha_2(n) \geq \frac{n^2}{16}$,*
- (iii) *for $n \geq 1$, $\beta_2(n) = n$,*
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Theorem

For $r \geq 3$ there exist $K = K(r)$ and $M = M(r)$ such that, for $n \geq 1$,

- (i) *$\alpha_r(n) \geq Kn^r$,*
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- (iii) *for $n \geq 1$, $\beta_2(n) = n$,*
- (iv) *for $n \geq 1$, $\gamma_2(n) = n$.*

Theorem

For $r \geq 3$ there exist $K = K(r)$ and $M = M(r)$ such that, for $n \geq 1$,

- (i) *$\alpha_r(n) \geq Kn^r$,*
- (ii) *$\beta_r(n) \leq Kn^M$,*
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A lower bound for γ_r

Theorem

For $r \geq 2$, and $n \geq n_0$, we have $\gamma_r(n) \geq \frac{1}{2r^{r-1}} n^{r-1}$.

Proof: For $r \geq 2$ and $n \geq 1$, consider

$$\begin{array}{ll}
 \psi_{r,n}: F_r & \rightarrow F_r & \psi_{r,n}^{-1}: F_r & \rightarrow F_r \\
 a_1 & \mapsto a_1 & a_1 & \mapsto a_1 \\
 a_2 & \mapsto a_1^n a_2 & a_2 & \mapsto a_1^{-n} a_2 \\
 & & & \vdots \\
 a_3 & \mapsto a_2^n a_3 & & \\
 & \vdots & & \\
 & & a_i & \mapsto (a_{i-1}^{-n}) \psi_{r,n}^{-1} \cdot a_i \\
 a_r & \mapsto a_{r-1}^n a_r & & (2 \leq i \leq r)
 \end{array}$$

A straightforward calculation shows that

$$\|\psi_{r,n}\|_1 = \|\psi_{r,n}\|_1 = (r-1)n + r, \text{ and}$$

$$\|\psi_{r,n}^{-1}\|_1 = \|\psi_{r,n}^{-1}\|_1 = n^{r-1} + 2n^{r-2} + \cdots + (r-1)n + r \geq n^{r-1}.$$

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Hence, for $n \geq r$,

$$\gamma_r(rn) \geq \gamma_r((r-1)n + r) \geq n^{r-1}.$$

Now, for n big enough, take the closest multiple of r below,

$$n \geq rm > n - r,$$

and

$$\gamma_r(n) \geq \gamma_r(rm) \geq m^{r-1} > \left(\frac{n-r}{r}\right)^{r-1} = \left(\frac{n}{r} - 1\right)^{r-1} \geq \frac{1}{2r^{r-1}} n^{r-1}. \quad \square$$

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Outer space

To prove the upper bound

$$(ii) \beta_r(n) \leq Kn^M,$$

we'll need to use the recently discovered **metric** in the **outer space** \mathcal{X}_r .

Definition

- By **graf** Γ we mean a finite, connected graph of rank r , with no vertices of degree 1 or 2.
- A **metric** on Γ is a map $\ell: E\Gamma \rightarrow [0, 1]$ such that $\sum_{e \in E\Gamma} \ell(e) = 1$, and $\{e \in E\Gamma \mid \ell(e) = 0\}$ is a forest.
- For a graph Γ , $\Sigma_\Gamma = \{\text{metrics on } \Gamma\}$ = a simplex with missing faces.
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The *outer space* \mathcal{X}_r is

$$\mathcal{X}_r = \{(\Gamma, f, \ell)\} / \sim$$

(where \sim is an equivalence relation).

Definition

There is a natural action of $\text{Aut}(F_r)$ on \mathcal{X}_r , given by

$$\phi \cdot (\Gamma, f, \ell) = (\Gamma, \phi f, \ell),$$

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Metric on \mathcal{X}_r

Definition

Let $x, x' \in \mathcal{X}_r$, $x = (\Gamma, f, \ell)$, $x' = (\Gamma', f', \ell')$. A **difference of markings** is a map $\alpha: \Gamma \rightarrow \Gamma'$, which is **linear over edges** and $f\alpha \simeq f'$.

For such an α , define $\sigma(\alpha)$ to be its **maximum slope over edges**.

Definition

\mathcal{X}_r admits the following “metric”:

$$d(x, x') = \min\{\log(\sigma(\alpha)) \mid \alpha \text{ diff. markings}\}.$$

This minimum is achieved by Arzela-Ascoli's theorem.

This is Bestvina-AlgomKfir version of Martino-Francaviglia's original metric.

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- (i) $d(x, y) \geq 0$, and $= 0 \Leftrightarrow x = y$.
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- (iii) $Out(F_r)$ acts by isometries, i.e. $d(\phi \cdot x, \phi \cdot y) = d(x, y)$.
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For $\epsilon > 0$, the ϵ -thick part of \mathcal{X}_r is

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Bestvina-AlgomKfir theorem

Theorem (Bestvina-AlgomKfir)

For any $\epsilon > 0$ there is constant $M = M(r, \epsilon)$ such that for all $x, y \in \mathcal{X}_r(\epsilon)$,

$$d(x, y) \leq M \cdot d(y, x).$$

Corollary

For $r \geq 2$, there exists $M = M(r)$ such that

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Proof

Remind $\beta_r(n) = \max\{\|\theta^{-1}\|_1 \mid \theta \in \text{Aut } F_r, \|\theta\|_1 \leq n\}$.

Proof. Given $\phi \in \text{Aut}(F_r)$, consider $x = (R_r, \text{id}, \ell_0) \in \mathcal{X}_r$, and $\phi \cdot x = (R_r, \phi, \ell_0) \in \mathcal{X}_r$, where ℓ_0 is the uniform metric.

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$$\log(\|\phi^{-1}\|_1) = d(x, \phi^{-1} \cdot x) = d(\phi \cdot x, x) \leq M d(x, \phi \cdot x) = M \log(\|\phi\|_1).$$

Hence, for every $\phi \in \text{Aut}(F_r)$, $\|\phi^{-1}\|_1 \leq r \|\phi\|_1^M$. \square

Proof

Remind $\beta_r(n) = \max\{\|\theta^{-1}\|_1 \mid \theta \in \text{Aut } F_r, \|\theta\|_1 \leq n\}$.

Proof. Given $\phi \in \text{Aut}(F_r)$, consider $x = (R_r, \text{id}, \ell_0) \in \mathcal{X}_r$, and $\phi \cdot x = (R_r, \phi, \ell_0) \in \mathcal{X}_r$, where ℓ_0 is the uniform metric.

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Outline

- 1 Motivation
- 2 Free groups
- 3 Lower bounds: a good enough example
- 4 Upper bounds: outer space
- 5 The special case of rank 2

The rank 2 case

These functions for $\text{Aut}(F_2)$ are much easier to understand due to the following technical lemmas.

Lemma

Let $\varphi \in \text{Aut}(F_2)$ be positive. Then φ^{-1} is cyclically reduced and $\|\varphi^{-1}\|_1 = \|\varphi\|_1$.

Lemma

For every $\theta \in \text{Aut}(F_2)$, there exist two letter permuting autos $\psi_1, \psi_2 \in \text{Aut}(F_2)$, a positive one $\varphi \in \text{Aut}^+(F_2)$, and an element $g \in F_2$, such that $\theta = \psi_1 \varphi \psi_2 \lambda_g$ and $\|\varphi\|_1 + 2|g| \leq \|\theta\|_1$.

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The rank 2 case: γ_2

Theorem

For every $\theta \in \text{Aut}(F_2)$, $\|\theta^{-1}\|_1 = \|\theta\|_1$. Hence, $\gamma_2(n) = n$.

Proof. Let $\theta \in \text{Aut}(F_2)$, decomposed as above, $\theta = \psi_1\varphi\psi_2\lambda_g$. Then,

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For $n \geq 4$ we have $\alpha_2(n) \leq \frac{(n-1)^2}{2}$.

Proof. Let $\theta \in \text{Aut}(F_2)$, decomposed as above, $\theta = \psi_1 \varphi \psi_2 \lambda g$. Then, $\theta^{-1} = \lambda_{g^{-1}} \psi_2^{-1} \varphi^{-1} \psi_1^{-1}$ and

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For $n \geq n_0$ we have $\alpha_2(n) \geq \frac{n^2}{16}$.

So, the global known picture is

(i) $\frac{n^2}{16} \leq \alpha_2(n) \leq \frac{(n-1)^2}{2}$,

(ii) $\beta_2(n) = n$,

(iii) $\gamma_2(n) = n$,

(iv) $Kn^r \leq \alpha_r(n)$,

(v) $\beta_r(n) \leq Kn^M$,

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for some constants $K = K(r)$, $M = M(r)$, and for $n \geq n_0$.

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4. Upper bounds
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5. The special case of rank 2
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THANKS