

# Fixed subgroups are compressed in surface groups

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(Joint work with Q. Zhang and J. Wu.)

Most of this talk is contained in the paper:

Q. Zhang, E. Ventura, J. Wu,  
“Fixed subgroups are compressed in surface groups”, *International  
Journal of Algebra and Computation* **25** (5) (2015), 865-887.

# Outline

- 1 Fixed subgroups in free groups (history)
- 2 New results in free groups
- 3 Fixed subgroups in surface groups (history)
- 4 New results in surface groups
- 5 New results in direct products of free and surface groups

# Notation

- Let  $G$  be a finitely presented group.
- $\text{Aut}(G) \subseteq \text{Mono}(G) \subseteq \text{End}(G)$ .
- Let endomorphisms  $\phi: G \rightarrow G$  act on the left,  $x \mapsto \phi(x)$ .
- $\text{Fix}(\phi) = \{x \in G \mid \phi(x) = x\} \leq G$ .
- If  $\mathcal{B} \subseteq \text{End}(G)$  then  
 $\text{Fix}(\mathcal{B}) = \{x \in G \mid \beta(x) = x \ \forall \beta \in \mathcal{B}\} = \bigcap_{\beta \in \mathcal{B}} \text{Fix}(\beta) \leq G$ .
- For  $\mathcal{B} \subseteq \text{Hom}(G, H)$ ,  
 $\text{Eq}(\mathcal{B}) = \{x \in G \mid \beta_1(x) = \beta_2(x) \ \forall \beta_1, \beta_2 \in \mathcal{B}\}$ .
- Note that if  $G \leq H$  and  $\mathcal{B} \subseteq \text{Hom}(G, H)$  then  $\text{Eq}(\mathcal{B}) = \text{Fix}(\mathcal{B})$ .

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# What is known about free groups ?

## Theorem (Dyer–Scott, 75)

*Let  $\mathcal{B} \leq \text{Aut}(F_n)$  be a finite group of automorphisms of  $F_n$ . Then,  $\text{Fix}(\mathcal{B}) \leq_{\text{ff}} F_n$ ; in particular,  $r(\text{Fix}(\mathcal{B})) \leq n$ .*

## Conjecture (Scott)

*For every  $\phi \in \text{Aut}(F_n)$ ,  $r(\text{Fix}(\phi)) \leq n$ .*

## Theorem (Gersten, 83 (published 87))

*Let  $\phi \in \text{Aut}(F_n)$ . Then  $r(\text{Fix}(\phi)) < \infty$ .*

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introducing the theory of **train-tracks** for graphs.

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*Let  $\phi \in \text{End}(F_n)$ . Then  $r(\text{Fix}(\phi)) \leq n$ .*

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*Let  $\phi \in \text{End}(F_n)$ ; if  $\phi$  is not bijective then  $r(\text{Fix}(\phi)) \leq n - 1$ .*



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# Inertia

## Definition

A subgroup  $H \leq G$  is called

- *inert in  $G$*  if  $r(H \cap K) \leq r(K)$  for every  $K \leq G$ ;
- *compressed in  $G$*  if  $r(H) \leq r(K)$  for every  $H \leq K \leq G$ ;

- Free factors and cyclic subgroups of  $F_n$  are inert in  $F_n$ ;
- intersections of inert subgroups are inert;
- subgroups of rank 1 and 2 in  $F_n$  are inert in  $F_n$ ;
- $A \leq B \leq C$ ; if  $A$  is inert in  $B$ , and  $B$  is inert in  $C$  then  $A$  is inert in  $C$ .
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Let  $\mathcal{B} \subseteq \text{Mon}(F_n)$  be an arbitrary set of monomorphisms of  $F_n$ . Then,  $\text{Fix}(\mathcal{B})$  is inert in  $F_n$ ; in particular,  $r(\text{Fix}(\mathcal{B})) \leq n$ .

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*Let  $\phi: G \rightarrow H$  be an epimorphism of free groups, with  $H$  f.g. Then, the equalizer of any family of sections of  $\phi$  is a free factor of  $H$ .*

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# The inertia conjecture

## Inertia Conjecture (Dicks–V.)

*For every  $\mathcal{B} \subseteq \text{End}(F_n)$ ,  $\text{Fix}(\mathcal{B})$  is inert in  $F_n$ .*

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# Outline

- 1 Fixed subgroups in free groups (history)
- 2 New results in free groups**
- 3 Fixed subgroups in surface groups (history)
- 4 New results in surface groups
- 5 New results in direct products of free and surface groups

# Main result for free groups

## Theorem (Zhang–Wu–V., 15)

Let  $F$  be a f.g. free group, let  $\mathcal{B} \subseteq \text{End}(F)$ , and let  $\beta_0 \in \langle \mathcal{B} \rangle \leq \text{End}(F)$  be with  $r(\beta_0(F))$  minimal. Then, for every subgroup  $K \leq F$  such that  $\beta_0(K) \cap \text{Fix } \mathcal{B} \leq K$ , we have  $r(K \cap \text{Fix } \mathcal{B}) \leq r(K)$ .

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- Since,  $\text{Fix } \alpha \cap \text{Fix } \beta \leq \text{Fix}(\alpha\beta)$ , we have  $\text{Fix } \langle \mathcal{B} \rangle = \text{Fix } \mathcal{B}$  and so, we can assume that  $\text{Id} \in \langle \mathcal{B} \rangle = \mathcal{B}$ .
- Now choose  $\beta_0 \in \mathcal{B}$  with  $r(\beta_0(F)) = \min\{r(\gamma(F)) \mid \gamma \in \mathcal{B}\}$ . Thus, all elements of  $\mathcal{B}$  act *injectively* on  $\beta_0(F)$ .
- Restricting  $\beta_0\mathcal{B} = \{\beta_0\gamma \mid \gamma \in \mathcal{B}\} \subseteq \mathcal{B}$  to  $\beta_0(F)$  we get the family of injective endos:  $\beta_0\gamma|_{\beta_0(F)}: \beta_0(F) \rightarrow \beta_0(F)$ , for  $\gamma \in \mathcal{B}$ .
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*Let  $F$  be a f.g. free group, and  $\mathcal{B} \subseteq \text{End}(F)$ . If some composition of endos from  $\mathcal{B}$  has image of rank 1 or 2, then  $\text{Fix } \mathcal{B}$  is inert in  $F$ .*

# Corollaries

As a first corollary, we obtain

## Corollary

*Let  $F$  be a f.g. free group, let  $\mathcal{B} \subseteq \text{End}(F)$ , and let  $\beta_0 \in \langle \mathcal{B} \rangle \leq \text{End}(F)$  be with  $r(\beta_0(F))$  minimal. Then,  $\text{Fix } \mathcal{B}$  is inert in  $\beta_0(F)$ . Moreover, if  $\beta_0(F)$  is inert in  $F$  then  $\text{Fix } \mathcal{B}$  is inert in  $F$  as well.*

## (Proof)

- *It follows easily from the main theorem, since*  

$$K \leq \beta_0(F) \Rightarrow \beta_0(K) \cap \text{Fix } \mathcal{B} \leq K.$$
- *In fact,  $x \in \beta_0(K) \cap \text{Fix } \mathcal{B} \Rightarrow \beta_0(k) = x = \beta_0(x)$  for some  $k \in K$ . But both  $k, x \in \beta_0(F)$ , where  $\beta_0$  is injective. Thus,  $x = k \in K$ .*
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## Theorem (Martino–V., 04)

*Let  $\mathcal{B} \subseteq \text{End}(F_n)$  be an arbitrary set of endomorphisms of  $F_n$ . Then,  $\text{Fix}(\mathcal{B})$  is compressed in  $F_n$ .*

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# Outline

- 1 Fixed subgroups in free groups (history)
- 2 New results in free groups
- 3 Fixed subgroups in surface groups (history)**
- 4 New results in surface groups
- 5 New results in direct products of free and surface groups

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*Let  $G$  be a surface group with  $\chi(G) < 0$ , and  $\mathcal{B} \subseteq \text{End}(G)$ . Then,*

- (i)  $r(\text{Fix } \mathcal{B}) \leq r(G)$ , with equality if and only if  $\mathcal{B} = \{\text{id}\}$ ;
- (ii)  $r(\text{Fix } \mathcal{B}) \leq \frac{1}{2}r(G)$ , if  $\mathcal{B}$  contains a non-epimorphic endomorphism;
- (iii) if  $\mathcal{B} \subseteq \text{Aut}(G)$ , then  $\text{Fix } \mathcal{B}$  is inert in  $G$ .

## Inertia Conjecture

*Let  $G$  be a surface group. For every  $\mathcal{B} \subseteq \text{End}(G)$ ,  $\text{Fix}(\mathcal{B})$  is inert in  $G$ .*

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*Let  $G$  be a surface group with  $\chi(G) < 0$ , and  $H$  a (f.g.) free group. If  $\phi: G \rightarrow H$  is an epimorphism and  $\mathcal{B}$  is a family of sections of  $\phi$ , then  $r(\text{Eq}(\mathcal{B})) \leq r(H) \leq \frac{1}{2}r(G)$ .*

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- 1 Fixed subgroups in free groups (history)
- 2 New results in free groups
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# Main result for surface groups

*The proof of main Theorem for free groups works for surface groups of negative Euler characteristic as well. For non-negative Euler characteristic one can prove the inertia conjecture directly.*

## Proposition

*Let  $G$  be either  $F_0 = S_0 = 1$ , or  $S_1 = \mathbb{Z}^2$ , or  $NS_1 = \mathbb{Z}/2\mathbb{Z}$ , or  $NS_2$ , and let  $\mathcal{B} \subseteq \text{End}(G)$ . Then,  $\text{Fix } \mathcal{B}$  is inert in  $G$ .*

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*Let  $G$  be a surface group, let  $\mathcal{B} \subseteq \text{End}(G)$ , and let  $\beta_0 \in \langle \mathcal{B} \rangle \leq \text{End}(G)$  be with  $r(\beta_0(G))$  minimal. Then, for every subgroup  $K \leq G$  such that  $\beta_0(K) \cap \text{Fix } \mathcal{B} \leq K$ , we have  $r(K \cap \text{Fix } \mathcal{B}) \leq r(K)$ .*

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*For every  $\mathcal{B} \subseteq \text{End}(NS_3)$ ,  $\text{Fix } \mathcal{B}$  is inert in  $NS_3$ .*

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# Product groups

## Definition

A **product** group is a group of the form  $G = G_1 \times \cdots \times G_n$ , where  $n \geq 1$ , and each  $G_i$  is either  $F_r$ ,  $r \geq 1$ , or  $S_g$ ,  $g \geq 1$ , or  $NS_k$ ,  $k \geq 1$ .

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*In general,  $r(A \times B) \leq r(A) + r(B)$ , but...*

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- Step 1: If  $G$  Euclidean then ok. Done.
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# Main result for free products

• Assume  $G$  of hyperbolic type, let  $\phi \in \text{Aut}(G)$ , and let us prove that  $r(\text{Fix } \phi) \leq r(G)$ .

• By previous result,  $\phi = \prod_{i=1}^m (\sigma_i \circ \prod_{j=1}^{n_i} \phi_{i,j})$ . So,

$$\text{Fix } \phi = \text{Fix}(\sigma_1 \circ (\phi_{1,1} \times \cdots \times \phi_{1,n_1})) \times \cdots \times \text{Fix}(\sigma_m \circ (\phi_{m,1} \times \cdots \times \phi_{m,n_m})),$$

we are reduced to the case  $m = 1$ , i.e.,  $G = G_1^n = G_{1,1} \times \cdots \times G_{1,n}$  ( $G_{1,j} = G_1$ ) and  $\phi = \sigma \circ (\phi_1 \times \cdots \times \phi_n)$ , for  $\sigma \in S_n$ ,  $\phi_j \in \text{Aut}(G_{1,j})$ .

• If  $\sigma = \text{Id}$  then  $\text{Fix } \phi = \text{Fix } \phi_1 \times \cdots \times \text{Fix } \phi_n$  and so,

$$r(\text{Fix } \phi) \leq r(\text{Fix } \phi_1) + \cdots + r(\text{Fix } \phi_n) \leq n r(G_1) = r(G_1^n) = r(G).$$

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- We can reduce to the case  $G = G_1 \times G_2$  with  $G_1$  Euclidean and  $G_2$  hyperbolic. Take  $1 \neq t \in Z(G_1)$ , and  $Z(G_2) = 1$ .

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- Since  $Z(G_1)$  is  $Z(F_1) = \mathbb{Z}$ , or  $Z(S_1) = \mathbb{Z}^2$ , or  $Z(NS_1) = \mathbb{Z}/2\mathbb{Z}$ , or  $Z(NS_2) = \mathbb{Z}$ , we deduce  $o(t) = 2, \infty$ .
- Let us distinguish the 3 cases:  $G_2 = F_r$ ,  $G = S_g$ , or  $G = NS_k$ .

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→ Case 2:  $G_2 = S_g = \langle a_1, b_1, \dots, a_g, b_g \mid [a_1, b_1] \cdots [a_g, b_g] \rangle$ ,  $g \geq 2$ .

• Consider  $\phi \in \text{Aut}(G)$  fixing  $G_1$  pointwise, and mapping  $a_1 \mapsto ta_1$ ,  $b_1 \mapsto b_1$ ,  $\dots$ ,  $a_g \mapsto a_g$ ,  $b_g \mapsto b_g$ . It is well defined because  $t$  commutes with  $b_1$  and all of  $G_1$ .

• As in case 1,  $w(a_1, b_1, \dots, a_g, b_g) \mapsto w(ta_1, b_1, \dots, a_g, b_g) = t^{|w|_1} w(a_1, b_1, \dots, a_g, b_g)$ , where  $|w|_1 \in \mathbb{Z}$  is the total  $a_1$ -exponent of  $w \in G_2$  (which makes sense because the def. rel. in  $G_2$  has total  $a_1$ -exponent equal to zero).

• Hence, as above,  $\text{Fix } \phi = G_1 \times \{w \in G_2 \mid |w|_1 \equiv 0\} = G_1 \times \ker \pi$ , where  $\pi: G_2 \rightarrow \mathbb{Z}/o(t)\mathbb{Z}$ ,  $w \mapsto |w|_1$ , and  $\equiv$  means equality of integers modulo  $o(t)$ .

• We conclude like above, after proving that  $r(\ker \pi) > r(G_2) = 2g$ .

• If  $o(t) = 2$ , this is true because  $\ker \pi \leq_2 G_2$  and so,  $\ker \pi$  is a surface group of bigger genus (and rank).

• If  $o(t) = \infty$  then  $\ker \pi \leq_\infty G_2$  (so, free), and  $\ker \pi$  is infinitely generated by the following argument:  $\forall x \in G_2 \setminus \ker \pi$ , we have  $[G_2 : \langle \ker \pi, x \rangle] = [\mathbb{Z} : \langle \pi(x) \rangle] = |\pi(x)| < \infty$  and so,  $\langle \ker \pi, x \rangle$  is a surf. gr. with  $\chi(\langle \ker \pi, x \rangle) = [G_2 : \langle \ker \pi, x \rangle] \chi(G_2) = |\pi(x)|(2 - 2g)$  and thus,  $r(\langle \ker \pi, x \rangle) = 2 + |\pi(x)|(2g - 2)$ .

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# Main result for product groups

→ Case 3:  $G_2 = NS_k = \langle a_1, a_2, \dots, a_k \mid a_1^2 \cdots a_k^2 \rangle, k \geq 3$ .

- Consider  $\phi \in \text{Aut}(G)$  fixing  $G_1$  pointwise and mapping  $a_1 \mapsto ta_1, a_2 \mapsto t^{-1}a_2, a_3 \mapsto a_3, \dots, a_k \mapsto a_k$ . It is well defined because  $t$  commutes with  $a_1, a_2$  and all of  $G_1$ .
- Observe now that, due to the form of the def. rel. in  $G_2$ , the “total  $a_i$ -exponent” of an element of  $w \in G$  is not well defined; however, the difference of two of them, say  $|w|_1 - |w|_2 \in \mathbb{Z}$ , it really is.
- Hence, the projection  $\pi: G_2 \rightarrow \mathbb{Z}/o(t)\mathbb{Z}, w \mapsto |w|_1 - |w|_2$  is well defined,  $\phi$  maps  $w(a_1, \dots, a_k)$  to  $w(ta_1, t^{-1}a_2, a_3, \dots, a_k) = t^{|w|_1 - |w|_2} w(a_1, \dots, a_k)$ , and we proceed and conclude as in case 2.

Theorem (Zhang–Wu–V., 15)

Let  $G = G_1 \times \cdots \times G_n$  be a product group. Then,  $r(\text{Fix } \phi) \leq r(G)$   
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# Characterizing compression

*It is natural to ask for similar characterizations of full compression and full inertia.*

Theorem (Zhang–Wu–V., 15)

*Let  $G = G_1 \times \cdots \times G_n$  be a product group. If  $\text{Fix } \phi$  is compressed in  $G$  for every  $\phi \in \text{Aut}(G)$ , then  $G$  must be of one of the following forms:*

(euc1)  $G = \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})^q$  for some  $p, q \geq 0$ ; or

(euc2)  $G = NS_2 \times (\mathbb{Z}/2\mathbb{Z})^q$  for some  $q \geq 0$ ; or

(euc3)  $G = NS_2 \times \mathbb{Z}^p \times (\mathbb{Z}/2\mathbb{Z})$  for some  $p \geq 1$ ; or

(euc4)  $G = NS_2^\ell \times \mathbb{Z}^p$  for some  $\ell \geq 1, p \geq 0$ ; or

(hyp1)  $G = F_r \times NS_3^\ell$  for some  $r \geq 2, \ell \geq 0$ ; or

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## Theorem (Zhang–Wu–V., 15)

Let  $G = G_1 \times \cdots \times G_n$  be a product group. If  $\text{Fix } \phi$  is inert in  $G$  for every  $\phi \in \text{Aut}(G)$ , then  $G$  is of one of the forms: (euc1), or (euc2), or (euc3), or (euc4), or

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## Conjecture (Zhang–Wu–V., 15)

Let  $G = G_1 \times \cdots \times G_n$  be a product group. Then, the following are equivalent:

(a) every  $\phi \in \text{End}(G)$  satisfies that  $\text{Fix } \phi$  is inert in  $G$ ,

(b) every  $\phi \in \text{Aut}(G)$  satisfies that  $\text{Fix } \phi$  is inert in  $G$ ,

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# THANKS